

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

(11) International Publication Number:

WO 97/16129

A61B 17/90, A61F 2/36

| A1

(43) International Publication Date:

9 May 1997 (09.05.97)

(21) International Application Number:

PCT/US96/17691

(22) International Filing Date:

1 November 1996 (01.11.96)

(81) Designated States: AU, CA, CN, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

ander 1990 (01:11:50)

(30) Priority Data:

08/556,812

2 November 1995 (02.11.95)

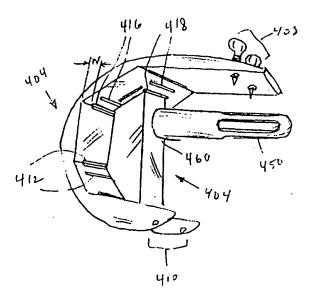
US

(71)(72) Applicant and Inventor: MASINI, Michael, A. [US/US]; 4817 Hillway Court, Ann Arbor, MI 48105 (US).

(74) Agents: POSA, John, G. et al.; Suite 400, 280 N. Woodward Avenue, Birmingham, MI 48009 (US). Published

With international search report. With amended claims.

(54) Title: BONE CUTTING GUIDES FOR USE IN THE IMPLANTATION OF PROSTHETIC JOINT COMPONENTS



(57) Abstract

Improved bone cutting guides enable a surgeon to better gauge required resection characteristics. In one embodiment at least a portion of the guide (204) is transparent (208, 210), thereby enabling the user to optimize cut estimates and to visualize the resection as it is being performed. In another embodiment, at least a portion of the outer surface of the body (404) is shaped to interact with another bone or prosthetic element associated with a joint, thereby enabling the device to function both as a trial and as a cutting guide. In this embodiment (404) as well the guide may be at least partially transparent to improve visualization. Although primarily intended for use in femoral-side knee arthroplasty, the invention is applicable to any procedure which might benefit from improved bone-cutting accuracy.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guines	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	1E	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belanis	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	L	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
	China	LR	Liberia	SZ	Swaziland
CN	Czechoslovakia	LT	Lithuania	TD	Chad
CS CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	•	LV	Larvia	TJ	Tajikistan
DK	Germany Denmark	MC	Monaco	TT	Trinidad and Tobago
		MD	Republic of Moldova	UA	Ukraine
EE	Estonia	MG	Madagascar	UG	Uganda
ES	Spain	.ML	Mali	US	United States of America
FI	Finland		Mongolia	UZ	Uzbekistan
FR	France	MN	Mauritania	VN	Viet Nam
GA	Gabon	MR	Mauriania	***	

BONE CUTTING GUIDES FOR USE IN THE IMPLANTATION OF PROSTHETIC JOINT COMPONENTS

Field of the Invention

This invention concerns arthroplasty, and, more particularly, resides in improved cutting guides having features to better assist a surgeon in preparing a bone, for example, to receive an implant.

Background of the Invention

Whether for primary or revision arthroplasty, cutting guides are typically employed to ensure that the bone saw performs resections corresponding to mating surfaces of the prosthetic component. For example, in a femoral knee replacement, cutting guides or blocks are temporarily secured to the distal end of the femoral shaft, and include slots into which the blade of an oscillating saw is inserted to shape the end of the bone in accordance with corresponding surfaces of the prosthetic element.

In the case of a revision, the procedure is usually more elaborate due to deterioration of the previously prepared surfaces resulting from decomposition of the bone/prosthesis interface, necrosis, and other factors. Cutting blocks are also typically used in revision procedures, though bone deficiency often renders stabilization of the block impossible. In addition, if the cutting block includes a stem, the positioning of the stemmed implant can alter the fit of the final prothesis relative to the bone. More recently introduced techniques attempt to base the cuts on an intramedullary guide to which additional cutting blocks are mounted. Though such

15

approaches improve bone cutting accuracy, there remains an unacceptable margin of error, the correction of which in some cases requiring a freehand shaping of the bone.

Summary of the Invention

invention accordingly provides present improved cutting guides which enable one performing a resection to better predict and execute required cut Broadly, at least a portion of the body characteristics. comprising the cutting guide is transparent, thereby 10 enabling the practitioner to better estimate cut depth and/or visualize the resection as it is being performed. Preferably one or more slots are used to guide a cutting saw, with the volume of the body surrounding the slots being transparent.

In one embodiment, at least a portion of the outer surface of the body is further shaped to interact with another bone or prosthetic element as part of a joint, thereby enabling the device to function both as a trial and as a cutting guide. For example, if adapted for use in 20 femoral knee arthroplasty, the outer shape of the body may condylar which cooperate protrusions corresponding tibial surfaces or prosthetic inserts. this combination trial/cutting-guide embodiment the device likewise advantageously be at least partially 25 transparent to assist in estimating cut orientation or depth, and to visualize a particular resection in progress. Although primarily intended for use in conjunction with preparing a bone surface to receive a prosthetic component, the invention is applicable to any bone-cutting procedure 30 which might benefit from improved accuracy.

25

Brief Description of the Drawings

FIGURE 1 is an oblique drawing of the distal end of a femur, showing some of the resections typically used in conjunction with prosthetic implantation;

FIGURE 2 is an oblique drawing of a cutting guide adapted for primary femoral knee arthroplasty which, according to the invention, is constructed of transparent material;

FIGURE 3 is an oblique drawing of an existing
10 prosthetic component adapted for mounting on the distal end
of the femoral shaft including an intercondylar box
structure;

FIGURE 4 is an improved cutting guide according to the invention, which includes outer surfaces appropriate to trial testing combined with cutting guides to facilitate efficient resection;

FIGURE 5 illustrates from an oblique perspective an alternative embodiment of the invention which facilitates box cuts if not already present;

20 FIGURE 6 is a top view of yet a further alternative embodiment of the invention involving the use of a box-cutting implement which may be removed from an implanted stem onto which a combination trial and cutting guide may subsequently be installed;

FIGURE 7 is a top view drawing of the combination trial/cutting guide referenced above with regard to Figure 6, now in position on the implanted stem; and

of the invention including a stem configuration which facilitates at least partial box cut formation along with guides for other resections in a femoral knee application.

Detailed Description of the Preferred Embodiments

In Figure 1 there is depicted a distal end portion 104 of a femur 102, which terminates in two bulbous protrusions 105 termed the medial and lateral condyles, which mate and engage with corresponding surfaces in the proximal end of the tibia. As a result of disease or injury, these mating surfaces, ordinarily smooth and cushioned by an intervening cartilage layer, disintegrate and/or become misshapen, resulting in restricted movement and pain.

To ameliorate these conditions, the orthopedic surgeon removes the unhealthy bone stock and replaces it with one or more metallic components which adhere to appropriately prepared bone surfaces and approximate the 15 outer, cortical layer of a healthy bone. To prepare the existing damaged or diseased bone to accept the implant components, various resections are made in a predetermined manner in correspondence with the inner surfaces of the Using the femoral example of Figure 1, a saw 20 guide is used to form resected surfaces resulting from an anterior chamfer cut 106, distal femoral articular surface cut 108, posterior chamfer cut 110, posterior-articular surface cut 112, and/or anterior articular surface cut, which is typically performed prior to the articular chamfer Although these cuts represent resections made in conjunction with a standard implant technique, more, fewer or different surfaces may be required, depending upon the level of deterioration or other circumstances.

Depending upon the saw guide used, either the 30 cuts associated with only one of the condyles may be resected, or, alternatively, a guide having a dual set of

slots may be utilized to trim both condyles simultaneously. A singular type fixture is shown, for example, in U.S. Patent No. 5,122,144, whereas guides having double sets of slots are shown in U.S. Patent Nos. 5,129,909 and Numerous other examples are evident in the 5 5,364,401. prior art, some of which are in commercial usage. The cuts associated with revision arthroplasty of the femoral component in a knee replacement are similar to those depicted in Figure 1, though now additional bone stock must 10 be removed, and additional cuts closer to the central axis of the bone are typically made to accommodate a one-piece replacement unit having a intercondylar strengthening structure and posts extending therefrom for intramedullary implantation. Such a revision knee prosthesis is shown, 15 for example, in U.S. Patent No. 4,936,847.

Whether for primary or revision arthroplasty, the saw guides involved are without exception now constructed of metal, and they are therefore visually opaque. results in a substantial piece of hardware which may be 20 washed, sterilized and used repeatedly in numerous procedures, but, being opaque, the orthopedic surgeon is simply unable to see what he or she is doing while making the various bony cuts. As a result, it is often standard practice to make one or more cuts with the guide in place, 25 then move the guide aside in order to view the interface, to ensure that sufficient bone has been removed to facilitate the most ideal cement interface between the resected bone and implant component. This trial-and-error process not only consumes valuable time during the 30 operation, but may lead to the removal of more bone stock than necessary to achieve fixation.

During revision arthroplasty, such trial-anderror is that much more complicated and arduous, owing to the increased number of resected surfaced involved, and the need to ensure that these surfaces and the medullary stem 5 are all properly aligned during the testing of trial implants and the attachment of the final prosthetic device. In the event of a misalignment, the surgeon may choose to use a final implant having a smaller than optimal diameter stem, for example, to take up the slack upon discovering a 10 slight misalignment with respect to the stem and the This, in turn, may lead to an resected surfaces. undesirable "play" between the stem of the prosthesis and the reamed medullary canal, and/or the need for medullary cementation which might otherwise be unnecessary.

According to this invention, then, improved cutting guides are provided to better assist the surgeon in performing accurate resections in an efficient manner. For example, guides adapted for use with primary arthroplasty have transparent features according to this invention, at 20 least in the vicinity of the saw-receiving slots, thus enabling the surgeon to visualize the way in which the existing bone makes contact with the inner surfaces of the guide, and therefore facilitates a much more exacting initial set of cuts, in most cases, ideally eliminating 25 trial-and-error entirely. In the case of revision work, the cutting quide itself contains condylar and other outer surfaces enabling it to function both as a cutting guide Accordingly, once an initial trial and as a trial. procedure is carried out, the various resections may be 30 performed, with a greater likelihood that they will be properly located, thereby increasing accuracy. Although a

revision guide according to this invention need not be transparent, the combination of a combined trial/cutting guide constructed of a transparent material is preferred to realize the advantages set forth above.

Figure 2 illustrates one version of a cutting 5 constructed in accordance with the invention, in block this case a guide for use in making initial articular surface and chamfer cuts. Positioning of the block assumes the surgeon has first made a distal femoral articular 10 surface cut against which the backside (not shown) of block 204 is seated while making these further resections, the chamfer cuts being angular with respect thereto. block 204 may be held against the bone through any attachment or stabilization means, including screws, pins, 15 braces or other fixtures so long as the guide is Importantly, and unlike all appropriately secured. existing guides of this type, at least the right and left portions 208 and 210 are composed of a transparent material, and, preferably, the entire unit is clear and 20 constructed of acrylic, polycarbonate or any other plastic substantial enough to material oscillations of a bone saw or other cutting tool, yet sufficiently transparent to enable a surgeon to visualize surfaces to be resected in conjunction with a particular procedure. Preferably, this unit and others to be described of a cast plastic material such as acrylic or Lucite, having polished surfaces, where necessary, to improve visualization.

In the case of primary arthroplasty, a cutting guide according to the invention need not assume the characteristics of that shown in Figure 2, but may assume

any suitable form and may be used for any bone-forming purpose, whether or not resulting from trauma. For example, the unit may resemble that shown in Figure 20 of Patent No. 5,234,433, which further includes a guide slot to accommodate not only the cuts just described, but, in addition, the distal femoral articular surface cut creating surface 108 in Figure 1. For that matter, the broad principles of this invention are applicable to any type of cutting fixture, whether slotted or otherwise, adapted for use for prosthetic resection which might benefit from having any transparent portions or panels to assist the surgeon in better visualizing the bone preparation process. This embodiment and those discussed below may also be adapted for use with cutting tools other than saw blades, including osteotomes, router bits, etc.

Reference will now be made to Figures 3-8, which represent embodiments of the invention more suitable to revision arthroplasty. Before discussing the improved devices and methods made possible through the invention, 20 however, existing approaches will first be discussed with particular regard to femoral knee surgery. In preparing the femur for a revision implant, the procedure may typically first include a drilling and reaming of the medullary canal to accept a sleeve and rod which extends 25 outward and past the distal tip of the femoral shaft, and onto which various components are temporarily secured and stabilized to facilitate the various resections. example, to perform the distal revision resection, an outrigger may be secured to the protruding stem and onto 30 this placed a revision distal femoral cutting block, typically further secured with pins, screws, or other means

20

engaged with the anterior cortex. Such a distal cutting guide typically includes various slots on either side to accommodate the lateral and medial resections at different depths according to the level of bone loss on either side.

Having prepared the distal surfaces, this first cutting block is removed and an anterior/posterior cutting block is installed onto the stem along with spacers in the event of different depths of the lateral and medial distal resections. With the anterior/posterior cutting block 10 secured in place, again with pins or screws, the anterior and posterolateral lateral cuts are made. Although the anterior cut is typically easily visualized as this region of the femoral shaft is fully exposed during the procedure, as with the lateral resection(s), the posterolateral and 15 posteromedial cuts are almost entirely hidden from view. Once the anterior and posterior resections are carried out, the cutting guide associated therewith is typically removed, and onto the medullary stem there is placed yet another guide used to perform notch and chamfer resections.

Figure 3 illustrates a typical prior-art revision implant, and additionally shows the orientation of the notch or "box" cuts to accommodate the intercondylar structure 302, which includes a transverse surface 304 and side surfaces 306 and 308 (not visible). The structure is 25 box-shaped so that flat saw blades may be used to resect the bone and provide a more intimate and stable interface. As discussed above, this box-like structure 302 provides strengthening between the two condylar halves, and allows room for superior post protrusion in posterior-stabilized The structure 302 also supports the stem 310, 30 designs. which is threadingly or compress-fit thereinto. A notch and chamfer cutting guide is typically used for the chamfer cuts and the cuts associated with the sides 306 and 308 of the intercondylar box, although the stem is typically removed to perform proximal anterior chamfer resections. The stem of the fixture must also be removed in order to make the transverse cut corresponding to the surface 304.

once all such guides or their equivalents have been used for the various cuts, the orthopedic surgeon typically must further progress through a trial reduction procedure which has matching inner surfaces, but varying thicknesses between the inner surfaces and the outer joint surfaces, which are each tested, in turn, to ensure a correct joint line and femur-to-tibia orientation. Trial polyethylene inserts are also selected to provide maximum range of motion and stability, at which point the femoral component may be implanted, in some cases utilizing spacers to accommodate the depth of cut determined as a function of bone loss. Such spacers typically clip into place, as shown in Figures 1 through 4 of U.S. Patent 4,936,847.

It should be evident from the steps just 20 described, that numerous guides must be resorted to as part of a lengthy trial-and-error procedure which, even when carried out in a comprehensive manner, may lead to slight or even gross misalignments upon fixation of the final 25 implant components. The present invention solves many of by providing cutting guides having these problems transparent sections enabling the surgeon to visualize the underlying bone stock before, during and after each In the case of the revision situation, or as resection. 30 part of a primary procedure wherein cutting blocks have been used, the invention further eliminates the need for a protracted trial reduction procedure by providing a cutting guide in the shape of the final implant component, thereby ensuring that once the guide is removed, the final implant component will inherently match the executed resections, thereby saving considerable time while improving accuracy.

One version of a cutting block according to the invention for femoral knee revision work is depicted in Figure 4. In this particular embodiment, a combination trial and cutting guide is provided under the assumption 10 that box cuts have previously been made to accept the intercondylar structure 404. Broadly, in this case, the device includes one or more inner surfaces which are configured for correspondence with the resections carried out during the primary procedure, but, according to the 15 invention, the device also includes outer surfaces which approximate the trial prosthesis or final implant shape, including outer condyle surface protrusions, only one side of which is partially visible as 404 in the figure. device also includes a femoral stem 450, which may be 20 detachable, as well as means for temporarily securing the device to the distal end of the femoral shaft, such as thumb screws 408, which are adapted for engagement with as an alternative, or, anterior cortical regions, stabilization pin holes 410 associated with securing the 25 device laterally or posteriorly. Any type of temporary securement means may be substituted for this optional feature, including tightening bands, outriggers, braces, or any combination of manually operated fasteners or pinreceiving holes or guides, so long as the unit is held in 30 position throughout the procedure.

To simultaneously function as a cutting guide,

the device of Figure 4 also includes one or more slots such as 412 into which a conventional, oscillating saw blade may be inserted to perform a required resection. embodiment depicted in Figure 4, the device is preferably 5 somewhat wider than the final implant, by an amount depicted as "W" shown in the figure, to provide additional material beyond the slotted areas for greater structural stability. Inner surfaces of the device are proportioned, where necessary, by an amount "d" representative of the 10 thickness of the cutting tool used to ensure that, once the combination trial/cutting guide is removed, the final implant mates accurately against the surfaces resected. Preferably the device accommodates sufficient slots and/or surfaces to perform all of the cuts necessary before the 15 installation of a conventional revision prosthetic component, though alternative embodiments may include more or fewer such slots to accommodate a particular or specialized procedure. Depending upon the particular resection to be performed, multiple slots may also be 20 provided to shape a particular surface, the depth of the finally relied upon being a function of bone deterioration in a particular region. For example, Figure 4 shows a set of two slots 416 for the distal condylar cuts and two slots 418 associated with trimming the bottom of 25 the box resections. Preferably, such multiple slots, which may be two or more, are separated by an amount related to existing spacers, for example, 4 mm.

It should already be apparent that the invention is suitable to femoral knee revision arthroplasty whether or not box cuts have been provided as part of a primary procedure. If provided, the device of Figure 4 may be used

if not, the device of Figures 5-8 directly; alternatively be used. The structure shown in Figure 5 is quite similar to that depicted in Figure 4, except the box structure 404 has been replaced with a passageway 506. The 5 device still includes outer condylar surfaces, however, enabling the device to be used as both a trial and a This figure also shows an oscillating saw cutting guide. blade being placed in different slots or against different surfaces to provide the requisite cuts. For example, saw 10 blades 514 and 515 inserted through slots 512 or 513, may be used to form transverse intercondyle cuts, whereas, blades in positions 520 and 522 may be inserted for the chamfer cuts, and so on. In addition, although slots are not shown, by placing the blade against the surfaces 530 15 (not visible) and 532, cuts corresponding to the sides of the box structure may be performed. Blades in positions 540 and 542 may be used to make the bottom cuts associated with the box. In the case of position 540, the blade could rest against a surface (not shown) provided on the device, 20 whereas a slot 543 may be provided for a deeper box bottom cut using blade 542.

Figures 6 and 7 illustrate a further alternative embodiment for accurately providing box cuts in the event that such cuts were not performed previously. In Figure 6, 25 there is shown a block 604 removably attached to an implanted intermedullar stem 602. Once in place, a saw blade at position 608 may be moved along the left surface of the block 604 to make a side box cut 606, and a blade in position 610 may be moved along a right surface of the block 604 to make a box cut 612. As an alternative to the use of outer surfaces of a cutting block such as 604, a

guide with slots may also be removably attached to the stem To make the bottom cut of the box, a blade may be moved straight down into the page, riding against a rearward surface 618.

Now making reference to Figure 7, a device similar to that depicted in Figure 5 is shown generally at Instead of providing a passageway 506 against which a flat saw may be placed to provide the box cuts, separate slots 705 are provided specifically for this purpose. 10 particular device may include a stem 706, but since the box cuts are not yet available, a trial is carried out in conjunction with a specialized tibial spacer 710 not having superior post protrusions should the joint configuration be Once the cuts 705 are stabilized in this manner. 15 performed; the overall device may be removed from the stem 706, and, assuming the stem connection is sufficiently deep in the bone, the cut corresponding to the bottom of the box may be completed. Then a device such as that shown in Figure 4 may be inserted, as required, to carry out any 20 necessary subsequent resections.

Figure 8 shows yet another further alternative embodiment of the invention. In this particular design, a stem 804 is provided, which is removably attachable to the bulk of the trial/cutting guide assembly 810 at an 25 interface 812. As a compromise between the passageway 506 shown in Figure 5 and the complete box structure 404 shown in Figure 4, the stem 804 connects to a smaller box-shaped element 820, enabling a saw to be placed on either side to perform the side box cuts, as shown in phantom at 824 for 30 the one side, or used from above to form the bottom surface of the box with a saw shown at position 830.

carried out at least this partial bottom surface cut, the bulk of the element may be removed from the stem 804 at interface 812 and the saw in position 830 extending downwardly to finish off the bottom box surface cut. With the box cuts having been performed and with the stem 804 remaining in place, a device such as that shown in Figure 4, with the stem 450 being removable from the box 404 at the interface 460, may then be installed and the remaining resections performed.

In use, then, the invention affords a procedure 10 which, in at least one respect, is essentially opposite to steps now being performed. That is, rather than making the various cuts (including the use of different saw guides), then performing a trial reduction, by using the present 15 invention, in essence, a trial reduction is performed first with a trial having saw guide slots or surfaces. Once the various cuts have been made, the inventive combined trial/ saw guide is removed, at which point the surgeon realizes precisely which final implant to choose for permanent 20 affixation. Not only will the surgeon know which final prosthetic component to select, but he or she will also know which spacers to use based upon the depth of slot used for the cut, and, since the combined trial/saw guide of this invention may be constructed with prior knowledge of the final implant configuration, misalignments of the type discussed earlier with regard to the implant stem are minimized. Due to the fact that a single guide may be used to perform numerous, accurate cuts, the invention should save considerable time while increasing the precision of 30 both primary and revision arthroplasty in a wide variety of and other orthopaedic situations joint replacement

WO 97/16129 PCT/US96/17691

- 16 -

including the tibial side of a knee replacement.

Having thus described my invention, I claim:

- A bone saw guide comprising a shaped body,
- 2 at least a portion of the body being transparent, thereby enabling a user to visualize a bone resection as it is
- 4 being performed with the saw using the guide.
- 2. The guide of claim 1, including one or more
- 2 slots to guide the saw, the volume of the body surrounding the slots being transparent.
- 3. The guide of claim 1, the shape of the body
- 2 being configured to co-act in a joint.
- 4. The guide of claim 3, the shape of the body
- 2 being configured for use in femoral knee arthroplasty.
- 5. The guide of claim 4, the shape of the body
- 2 including outer, condylar protrusions enabling the guide to further function as a trial.
 - 6. The guide of claim 1, further including
- 2 means to position the guide relative to the bone to be resected.
 - 7. The quide of claim 6, the means to position
- 2 the guide relative to the bone to be resected including a projection which enters into the bone.
- A combination trial and bone cutting guide,
- 2 comprising:
 - an inner surface shaped to mate with one or more
- 4 resections made to the bone;

WO 97/16129

- 18 -

an outer surface shaped to interact with another 6 component forming part of a joint; and

one or more cutting guides enabling additional

- resections to be performed.
- The combination trial and bone cutting guide wherein the additional resections are 2 of claim 8, associated with the implantation of a prosthetic component.
- The cutting guide of claim 9, wherein the 2 prosthetic component is associated with femoral knee arthroplasty.
- 11. The cutting guide of claim 8, wherein the 2 guides are in the form of slots.
 - The cutting guide of claim 8, wherein the
- 2 least a portion of the combination trial and bone cutting guide is transparent, thereby enabling a user to visualize
- 4 the additional bone resection as it is being performed.
- The method of resecting a bone, comprising 2 the steps of:

providing a transparent saw guide;

- mounting the saw guide relative to the bone to be 4 resected in the vicinity of a resection to be performed;
- viewing bone surfaces through the transparent 6 portions of the guide to determine the proper approach to
- 8 the resection to be performed; and

performing the resection with the guide remaining

10 in place.

- 14. The method of resecting a bone to receive a
- 2 joint-related implant, comprising the steps of:

providing and installing a combination trial and

- 4 cutting guide onto the end of the bone to receive the implant;
- 6 reducing the installed device to test the joint;
 and
- g upon a satisfactory reduction, resecting the end of the bone using the cutting guide.

- 20 -

AMENDED CLAIMS

[received by the International Bureau on 25 February 1997(25.02.97); original claims 1-14 replaced by amended claims 1-20 (5 pages)]

- 1. A bone cutting guide, comprising:
- a shaped body including means for guiding a bonecutting tool, at least a portion of the body being
- 4 transparent, thereby enabling a user to visualize a bone resection as it is being performed with the tool.
- The guide of claim 1, wherein the means for
 guiding a bone-cutting tool includes one or more slots
 formed through the body to guide a bone cutting saw.
- 3. The guide of claim 1, wherein the shape of the body is configured to co-act in a joint, thereby enabling the body to function as a trial as well as a 4 cutting guide.
- 4. The guide of claim 3, the shape of the body including outer, condylar protrusions configured for use in femoral knee arthroplasty.
- The guide of claim 1, further including
 means to hold the guide in place relative to the bone to be resected.
- 6. The guide of claim 5, the means to hold the guide in place to the bone to be resected including a projection which enters into the bone.
- A combination trial and bone cutting guide
 adapted for use with a cutting tool exhibiting a cutting thickness and a prosthetic component having an inner, bone-
- 4 contacting surface and an outer joint co-acting surface,

the thickness between the inner and outer surfaces of the

- 6 component defining a thickness T, the trial/cutting guide comprising:
- a shaped body having an inner surface configured to mate with one or more resections made to the bone, and
- an outer surface configured to interact within a joint, the body further including
- one or more guides enabling additional resections to be performed with the cutting tool, and wherein at least
- 14 a portion of the thickness between the inner and outer surfaces of the trial/cutting guide is less than T by an
- 16 amount equal to the cutting thickness.
 - 8. The combination trial and bone cutting guide
- 2 of claim 7, wherein the additional resections are associated with the implantation of the prosthetic
- 4 component.
- The cutting guide of claim 8, wherein the
 prosthetic component is associated with femoral knee arthroplasty.
- 10. The cutting guide of claim 7, wherein the 2 cutting tool is a saw and the guides are in the form of slots.
- 11. The cutting guide of claim 7, wherein the
- 2 least a portion of the combination trial and bone cutting guide is transparent, thereby enabling a user to visualize
- 4 the additional bone resection as it is being performed.

- 12. The method of resecting a bone to receive a
 2 joint-related implant, comprising the steps of:
 - providing a combination trial and cutting guide;
- 4 installing the combination trial and cutting guide onto the end of the bone destined to receive the
- 6 implant;
 - reducing the bone with the guide installed to
- 8 test the joint; and
- upon a satisfactory reduction, resecting the end 10 of the bone using the cutting guide as installed.
- 13. The method of claim 12, wherein the step of 2 providing a combination trial and cutting guide includes the step of providing such a guide which is at least 4 partially transparent.
 - 14. In revision knee arthroplasty, wherein a
- 2 final implant having outer condylar surfaces and an intercondylar box structure is to be installed onto the
- 4 distal end of a femur, the method of preparing the femur to receive the final implant, comprising the steps of:
- 6 performing a series of box cuts into the end of the femur sufficient to receive the box structure;
- 8 providing a trial implant having outer condylar surfaces and a box structure which correspond to those of
- 10 the final implant, the trial implant further including a plurality of bone-modification guides sufficient to perform
- 12 all anterior, posterior, angled, and axial resections necessary to receive the final implant;
- installing and securing the trial implant to the distal end of the femur;

AMENDED SHEET (ARTICLE 19)

WO 97/16129

- 23 -

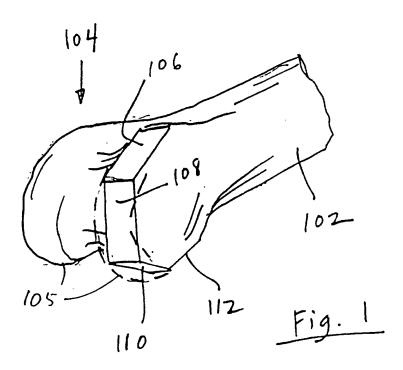
- 16 performing a trial reduction with the trial implant in place; and
- 18 in the event of a successful trial reduction, performing all required resections using the bone-
- 20 modification guides,

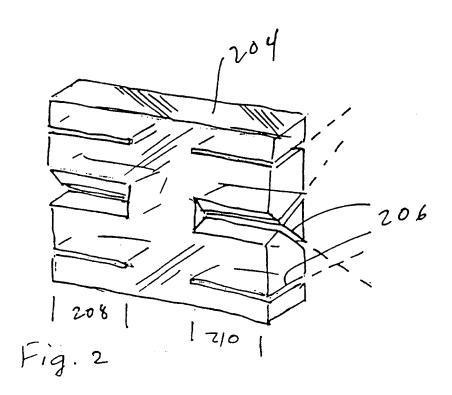
removing the trial implant, and

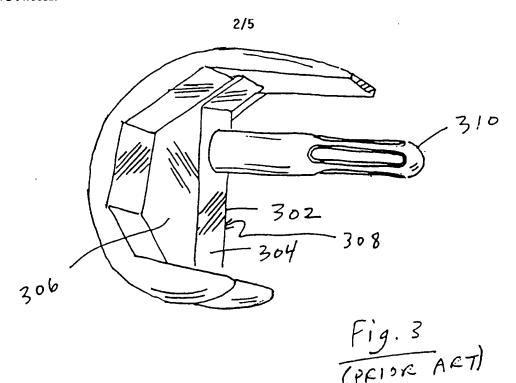
- 22 installing the final implant.
 - The method of claim 14, wherein the trial
- 2 implant includes bone-modification guides capable of performing:
- 4 a distal cut, and both anterior and posterior chamfer cuts.
- The method of claim 14, including the step 2 of providing a trial implant having one or more quides to perform one or more of the box cuts.
- 17. The method of claim 14, wherein the step of 2 performing a series of box cuts into the end of the femur further includes the steps of:
- installing an intermedullary guide post; 4 installing, onto the quide post, a rectangular
- 6 structure having surfaces which correspond to the box cuts; and
- 8 performing the box cuts using the surfaces of the rectangular structure as references.
- 18. A trial implant for femoral revision knee 2 arthroplasty including a cutting guide for performing box cuts associated with a certain class of final implants, the

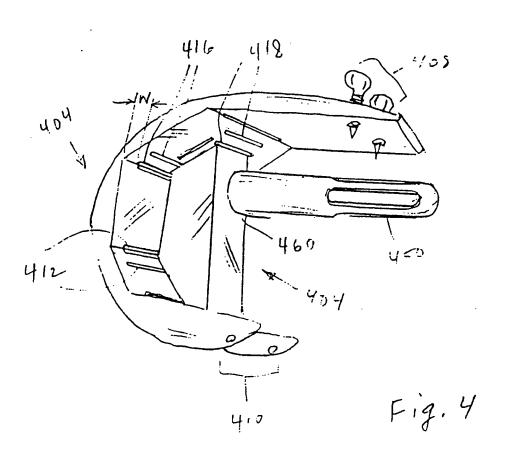
AMENDED SHEET (ARTICLE 19)

- 4 trial comprising:
 - a solid body having an outer condylar surface and
- 6 a plurality of inner surfaces which correspond to resected surfaces on the distal end of a femur; and
- a rectangular aperture formed through a central region of the body, the aperture having one or more side
- surfaces which may be used as references to perform the box cuts.
 - 19. The trial of claim 18, further including one
- 2 or more slots into which a saw blade may be inserted to trim one of the resected surfaces.
 - 20. The trial of claim 18, wherein the spacing
- 2 between the outer condylar surface and that of at least one of the inner surfaces is slightly less than the
- 4 corresponding thickness of the final implant to account for the thickness of the saw blade.









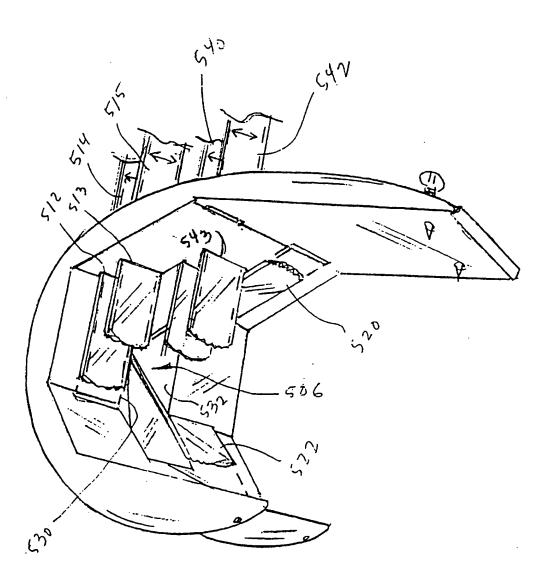
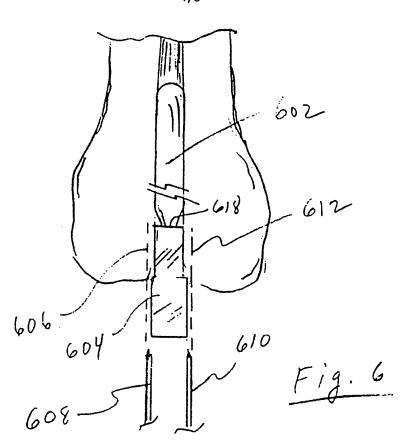
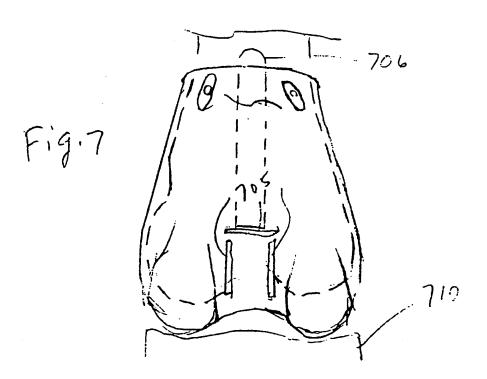


Fig. 5





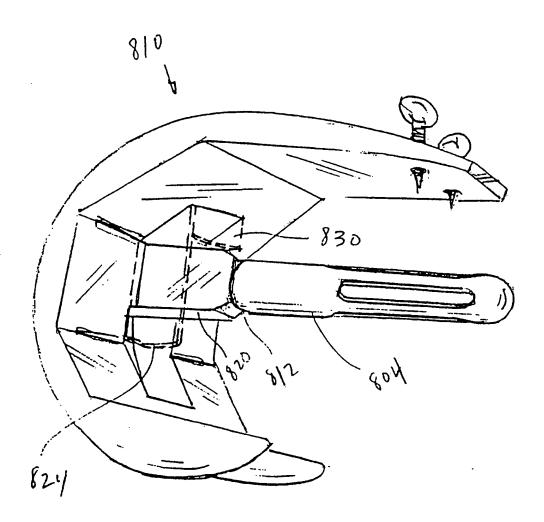


Fig. 8

INTERNATIONAL SEARCH REPORT

International application No. PCT/US96/17691

A. CLASSIFICATION OF SUBJECT MATTER IPC(6) :A61B 17/90; A61F 2/36								
US CL: 606/86 According to International Patent Classification (IPC) or to both national classification and IPC								
B. FIELDS SEARCHED								
Minimum documentation searched (classification system followed by classification symbols)								
U.S. : 606/86, 87, 88, 82, 76, 96; 623/20								
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched								
·								
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)								
C. DOCUMENTS CONSIDERED TO BE RELEVANT								
Category*	Citation of document, with indication, where approp	riate, of the relevant passages	Relevant to claim No.					
Υ	US 5,258,032 A (Bertin) 2 Nov. 199	1-14						
Y	US 5,236,432 A (Masten III et al.) 1 lines 12-21.	1,2,12,13						
	·							
			,					
Furd	l her documents are listed in the continuation of Box C.	See patent family annex.						
Special categories of cited documents: T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the								
'A' do	"A" document defining the general state of the art which is not considered principle or theory underlying the invention to be of particular relevance							
	orlier document published on or after the international filing date	considered novel or cannot be considered when the document is taken alone	ered to involve an inventive step					
ci \$\$	ocument which may throw doubts on priority claim(s) or which is seed to establish the publication date of another citation or other ecial reason (as specified)	document of particular relevance; the considered to involve an inventive combined with one or more other sur	r step when the document is					
m	ocument referring to an oral disclosure, use, exhibition or other seans	being obvious to a person skilled in	he an					
Lh Lh	-p* document published prior to the international filling date but later than document member of the same patent family the priorsey date claimed							
	Date of the actual completion of the international search OS JANUARY 1997 Date of mailing of the international search report 1 JAN 1997							
		porized officed	20					
Commissi Box PCT	oner of Patents and Trademarks	DAPHNA SHAI	The transfer of the transfer o					
	Washington, D.C. 20231 Telephone No. (703) 308-0858							